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Docket No. PPC0834CIP5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Nawaz Ahmad et al.

Serial No. : 10/697,838

Art Unit: 1764

Filed : October 30, 2003

Examiner:

For : Warming and Nonirritating Lubricant Compositions  
and Method of Comparing Irritation

I hereby certify that this correspondence is being deposited with the  
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to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

July 14, 2004  
(Date of Deposit)

Andrea L. Colby  
(Name of applicant, assignee, or Registered Representative)

Andrea L. Colby  
(Signature)

July 14, 2004  
(Date of Signature)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This copy is supplemental to the Information Disclosure Statement mailed on December 23, 2003, and April 22, 2004.

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this

information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☒ Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a)(2). (The U.S. patents and each U.S. patent application publication listed on the attached Form PTO-1449 are not enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the national stage under 35 USC §371 after June 30, 2003 (see USPTO waiver of requirement under 37 CFR 1.98 (a)(2)(i)).

☐ There are no listed references which are not in the English language.

☒ The relevance of those listed references which are not in the English language is as follows: English language equivalent US2003092754 is provided for WO0105400.


☒ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

Copies of the references listed on the search report(s) are included except for those previously cited in an IDS mailed April 22, 2004.

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

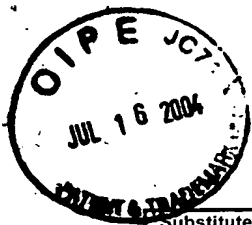
Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/PPC834CIP5/ALC. This form is submitted in triplicate.

Respectfully submitted,

  
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DATED: *July 14, 2004*



PTO/SB/08A (08-00)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

|                        |             |
|------------------------|-------------|
| Application Number     | 10/697,838  |
| Filing Date            | 10/30/2003  |
| First Named Inventor   | Nawaz Ahmad |
| Group Art Unit         | 1764        |
| Examiner Name          |             |
| Attorney Docket Number | PPC0834CIP5 |

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of Publication<br>of Cited Document<br>mm-dd-yyyy | Pages, Columns, Lines,<br>where relevant passages<br>or relevant figures appear |
|----------------------|--------------------------|----------------------|--------------------------------------|--|--|---|
|                      |                          | Number               | Kind Code <sup>2</sup><br>(if known) |  |  |   |
|                      |                          | 4,636,520            | A                                    | Umio et al.  | 01-13-1987   |   |
|                      |                          | 5,002,938            | A                                    | Wang et al.  | 03-26-1991   |   |
|                      |                          | 5,696,164            | A                                    | Sun et al.   | 12-09-1997   |   |
|                      |                          | 5,840,744            | A                                    | Borgman  | 11-24-1998   |   |
|                      |                          | 2003/0092754         | A1                                   | Nishimuta et al.                                   | 05-15-2003   |   |
|                      |                          |                      |                                      |  |  |   |
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**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                        | Name of Patentee or<br>Applicant of Cited Document | Date of Publication<br>of Cited Document<br>mm-dd-yyyy | Pages, Columns, Lines,<br>where relevant<br>passages or relevant<br>figures appear | T <sup>6</sup> |
|----------------------|--------------------------|-------------------------|---------------------|------------------------|--|--|--|----------------|
|                      |                          | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup> |  |  |  |                |
|                      |                          | EP                      | 0 404 376           | A2                     | Curatek Pharmaceuticals                            | 12-27-1990   |  |                |
|                      |                          | EP                      | 0 581 581           | A2                     | Johnson & Johnson Consumer<br>Products, Inc.       | 02-02-1994   |  |                |
|                      |                          | WO                      | 01/05400            | A1                     | Nishimuta et al.                                   | 01-25-2001   |  | No             |
|                      |                          | WO                      | 02/087570           | A1                     | McNeil-PPC, Inc.                                   | 11-07-2002   |  |                |
|                      |                          |                         |                     |                        |  |  |  |                |
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Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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